

The logo for NAVIGATOR is a large, stylized letter 'N' composed of a dense grid of thin, light blue lines. It is positioned in the upper right quadrant of the page, partially overlapping the top and right edges.

NAVIGATOR

FUTURES FUND

DISCIPLINE LIQUIDITY GROWTH

A large, faint compass rose is centered in the lower half of the page. It features a central point with eight primary and secondary points, all rendered in a light blue color that blends with the background. The rose is partially obscured by the text above it.

**Subscription Form**  
**Class B Shares GBP**  
**Institutional**



This form is for new shareholders in the Navigator-Futures Fund (the Fund), a sub-fund of Finex Navigator UCITS SICAV plc (the Company). Applicants for Investor Shares should inform themselves as to the legal requirements for acquiring, holding or disposing of Investor Shares in the Fund within their countries of nationality, residence, ordinary or permanent residence or domicile, and any applicable exchange control requirements and taxes in their countries of nationality, residence, ordinary or permanent residence or domicile.

**Professional Client Institutional**  
**Class B GBP**      ISIN: MT7000008868

Date:
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**Investor Details**

<b>Name of Company</b>	

<b>Investment Amount</b>	<b>GBP –</b>
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**Investor Bank Account Details**

<b>Name of Bank Account</b>	
<b>Account Number</b>	
<b>Name of Bank</b>	
<b>Address of Bank</b>	

I/We confirm that I/we have read and understood the contents of the KIID, Prospectus and Offering Supplement to which this Subscription Form was attached and I/we offer to subscribe and agree to accept the number of such Investor Shares in the Navigator-Futures Fund which may be allocated to me/us in accordance with the terms of the KIID, Prospectus and Offering Supplement to which this Subscription Form was attached and subject to the provisions of the Memorandum and Articles of Association of the Company.

I/We acknowledge that due to anti-money laundering requirements the Company and/or Administrator may require further identification and other information from the undersigned before an application can be processed and the Company shall hereby be held harmless and indemnified by the undersigned against any loss arising as a result of a failure to process the application, if any information requested has not been provided by the undersigned.

I/We hereby undertake to observe and be bound by the provisions of the Memorandum and Articles of Association of the Company and apply to be entered in the register of members as the holder / holders of the Investor Shares in the Company issued in relation to this application.

I/We hereby agree to be bound by the Prospectus ,the Offering Supplement and KIID (the Fund Documents) as may be amended and supplemented from time to time in accordance with the Laws of Malta.

I/we hereby declare that I/ we have carefully and fully read the Fund Documents and that I /we fully understand their contents and the risks described therein and am/are willing to accept such risks.

I / We agree, that where I / we wish to dispose of any of such Investor Shares, I/we will ensure that both the transferor and the transferee, if applicable, do not hold less than the Minimum Holding.

I / We will not offer, sell or deliver any of such Investor Shares directly or indirectly to a U.S. Person (as defined in the Offering Supplement to which this Subscription Form was attached).

I / We warrant that I/we have the right and authority to make the investment pursuant to this Subscription Form whether the investment is my/our own name or is made on behalf of another person whether natural or legal or body or association of persons and that I / we am/are not in breach of any laws or regulations of any competent jurisdiction and I/we hereby indemnify the Company and Administrator for any loss suffered by them as a result of this warranty /representation not being true in every respect.

I / We, agree to provide such confirmations and representations to the Administrator as the Administrator may from time to time request and to provide on request such certificates, documents or other evidence as the Administrator may reasonably require to substantiate such confirmations and representations.

I / We, agree to notify the Administrator immediately if I/we become aware that any of the representations is /are no longer accurate and complete in all respects and agree to immediately sell or tender to the Administrator for redemption a sufficient number of Investor Shares to allow the representation to be made.

I / We hereby confirm that the Administrator is authorised and instructed to accept and execute any instructions in respect of such Investor Shares to which this Subscription Form relates given by me / us by facsimile.

If instructions are given by me/us by facsimile, I/we undertake to confirm them separately by means of a letter. I/We hereby indemnify the Administrator and the Company and agree to keep them indemnified, against any loss of any nature whatsoever arising as a result of acting on facsimile instructions. The Administrator and the Company may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instructions or other instrument believed, in good faith, to be genuine and to be signed by properly authorised persons.

I / We, agree to indemnify and hold harmless the Administrator and the Company against any loss, liability, costs or expense (including without limitation attorneys' fees, taxes and penalties) which may result directly or indirectly, from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any other document delivered by the undersigned to the Company or Administrator.

**Signature:**

**Signature:**

**Authorised Signatory Name:**

**Authorised Signatory Name:**

Please send your Investment to the following Bank account.

<b>Banking Details for GBP</b>	
<b>Payment to</b>	<b>Sparkasse Bank Malta PLC Swift (SBMTMTM1)</b>
<b>For the account of</b>	<b>NAVIGATOR FUND CLT</b>
<b>Intermediary Bank</b>	<b>Erste Group Bank AG Swift (GIBAATWGXXX)</b>
<b>Account No.</b>	<b>10000024138080</b>
<b>IBAN</b>	<b>MT39SBMT55505000010000024138080</b>

Please read the Client Identification Requirements and provide these documents with your application. If your application is sent without these documents it will not be processed.

## **CLIENT IDENTIFICATION REQUIREMENTS**

It is important to note that the appropriate Client Identification documents must be received as part of your completed application form. Failure to fully satisfy the Fund's and the Administrator's Client Identification requirements can result in your subscription being delayed to a later dealing date and can even result in your application being rejected.

The Fund and the Administrator reserve the right to change the following requirements from time to time in order to keep up to date with changing regulations and best practices.

### **Certification**

Please read the certification section carefully and provide ALL the relevant proof of identity documentation specified below.

**Please note that any copies of documents must be certified as a true copy by one of the following:-**

- a member of the judiciary, a senior civil servant, or a serving police or customs officer;
- an officer of an embassy, consulate or high commission of the country of issue of documentary evidence of identity;
- an individual who is a member of a professional body that sets and enforces ethical standards;
- an individual that is qualified to undertake certification services under authority of the Certification and International Trade Committee; and
- a director, officer, or manager of a regulated financial services business which is operating in a well-regulated country or territory, or of a branch or subsidiary of a group headquartered in a well-regulated country or territory which applies group standards to subsidiaries and branches worldwide, and tests the application of and compliance with such standards.

**The certifier must state on each document that "I have seen the original document and certify that the copy provided is a true and accurate copy of the original."**

**They should also sign and print their name, date and state their occupation/qualification.**

## **Client Identification Documents**

### **1. DOCUMENTS REQUIRED FOR A) UNQUOTED CORPORATE CLIENTS**

- Certificate of Incorporation.
- Memorandum and Articles of Association.
- Confirmation of authority of any persons dealing with us on behalf of the Company eg certified extract from minutes, certified authorised signatory list.
- Register of directors.
- Certified copies of Passport or Driving Licence photo identification and Bank Statement or Utility Bill address confirmation for at least two or the Directors.
- Full list of all who have signing authority on the account (Authorised Signatory list).
- For a private company, the documents in section 1 for: (i) any individual owning over 10% and (ii) all controlling Authorised Signatories (that is any signatories from whom the Fund and/or Administrator will receive instructions in connection with the subscription and the holding of shares in the Fund).

### **2. DOCUMENTS REQUIRED FOR B) QUOTED CORPORATE CLIENTS**

- Confirmation of authority of any persons dealing with us on behalf of the Company eg certified extract from minutes, certified authorised signatory list.
- Documentation for two directors/two persons authorised to give instructions.
- The most recent audited accounts and the company's website.

### **3. DOCUMENTS REQUIRED FOR A TRUST**

- The documents in section 1 above for the Settlor or provider of funds.
- The documents in section 1 above if the Trustee is a personal trustee.
- The documents in section 2 above if the Trustee is a corporate trustee.

#### **4. DOCUMENTS REQUIRED FOR A LIMITED PARTNERSHIP**

- its limited partnership agreement;
- documents evidencing each of the following elements:
  1. its legal name and any trading names;
  2. the date and country of establishment/registration;
  3. its official identification number;
  4. its registered office address and mailing address (if different);
  5. its principal place of business/operation;
  6. the name of any regulator;
  7. the name of its general partner and of each of its limited partners holding an interest of 10% or more in the limited partnership;
  8. identification information of its general partner, in accordance with the documentary requirements set out in the relevant part of this Schedule 1; and
  9. identification information of each of its limited partners holding an interest of 10% or more in the limited partnership, in each case in accordance with the documentary requirements set out in section 1.

#### **5. DOCUMENTS REQUIRED FOR PENSIONS SCHEMES**

- A certified copy of the Pension's Offering Memorandum (or equivalent documents) and a certified copy of the approval from the appropriate jurisdictions Tax Authority (if applicable).
- Confirmation as to the parties who administers the pension.
- A full list of names, addresses, occupations and date of births for the Trustees, Governors and Board Members. Certified copies of Passport or Driving Licence photo identification and Bank Statement or Utility Bill address confirmation must also be provided for the Trustees, Governors and Board Members.
- Full list of all who have signing authority on the account (Authorised Signatory list).
- If the investment is on behalf of an Individual or Family Pension Scheme, we require full disclosure and KYC documentation of all potential beneficiaries of the Pension Scheme.
- If the investment is on behalf of a Corporate / Employee Pension Scheme, we require confirmation as to the entrance criteria for the scheme.

Certified copies of Passport or Driving Licence photo identification and Bank Statement or Utility Bill address confirmation must also be provided for all controlling Authorised Signatories.

The Administrator may also, without limitation, require additional information and / or documentation relating to the Pension scheme, its Trustees, Governors, Board Members or underlying beneficiaries.

## **6. DOCUMENTS REQUIRED FOR CHARITIES**

- A certified copy of the Memorandum and Articles of Association (or equivalent documents) and a certified copy of approval from the appropriate jurisdiction's Tax Authority.
- Confirmation as to the main sources of income for the Charity / Foundation and full details as to the underlying beneficiaries of the Charity / Foundation.
- A full list of names, addresses, occupations and date of births for the Trustees, Governors and Board Members. Certified copies of Passport or Driving License photo identification and Bank Statement or Utility Bill address confirmation must also be provided for the Trustees, Governors and Board Members.
- Full list of all who have signing authority on the account (Authorised Signatory list).

Certified copies of Passport or Driving Licence photo identification and Bank Statement or Utility Bill address confirmation must also be provided for all controlling Authorised Signatories.

The Administrator may request from the applicant such additional information to enable the Administrator to determine the applicant's compliance with applicable regulatory requirements or the applicant's anti- money laundering verification status and the applicant shall provide to the Administrator from time to time such information as may reasonably be requested. Each person acquiring Investor Shares in the Fund must satisfy the foregoing both at the time of subscription and at all times thereafter until such person ceases to be an Investor Shareholder. Accordingly, the applicant agrees to notify the Administrator promptly if there is any change with respect to any of the foregoing information as the Administrator may reasonably require.

### **Contact details for assistance**

**Scan and eMail all documents to:**

**ccfs@cc.com.mt**

**and mail to:**

**CCFS Limited**

**5th Floor Valletta Buildings,**

**South Street, Valletta,**

**Malta VLT1103**